



**Akhiok-Kaguyak, Inc.**  
**1400 W Benson Blvd., Suite 425, Anchorage, AK 99503**  
**Phone: (907) 258-0604 Fax: (907) 258-0608**

Dear Shareholder:

The materials which are needed to make a gift of stock are to include: Inter Vivos Transfer Questionnaire, Gift of Settlement Common Stock Form and Acceptance of the Gift of Stock. **If a minor; the Information Sheet on Appointment of a Custodian for Minor Shareholders, Consent to Appointment of Gift of Stock forms for individuals and custodians, (which can be printed from our website).**

The Questionnaire contains the information needed by AKI to verify that the transfer meets the requirements of the Act and to complete the stock transfer. The Questionnaire requires that copies of birth certificates be provided for both the recipient of the stock, and, if the recipient is other than the donor's child, the birth certificates of those persons through whom the recipient descends. The purpose of these birth certificates is to allow AKI to verify the relationship between the shareholder and the person receiving the stock. In addition, if the recipient is adopted, a copy of the adoption decree should be submitted along with the recipient's birth certificate.

The Gift Settlement Common Stock Form is the document which actually makes the gift of stock. Make sure it is signed and notarized. AKI will keep the original document on file and return a copy of it to you for your records after the stock has been transferred.

The Acceptance of Gift Stock form must be signed and notarized by the person to whom you are giving the stock. The purpose of this form is to insure that the person who is receiving the stock is willing to accept it, and understands the rights and obligations of the stock.

AKI must also verify that the person who is receiving the stock is a Native or a Descendant of a Native. For recipients who are Native, providing their enrollment number is sufficient. For recipients who are Descendants of Natives, AKI will need the name and enrollment number of the Native they are descended from, as well as copies of the birth certificates of the persons through whom they descent. In many cases, these will be the same birth certificates which are needed to establish the relationship between the shareholder and the recipient. Forms can be found on AKI website or if you are unsure of the forms you need to submit, please contact AKI offices in Anchorage for assistance.

The completed Gift of Settlement Common Stock form, Acceptance of Gift of Stock form, and Questionnaire should be returned to AKI.

In addition, if the person who is to receive the stock is under 18 years of age, a custodian must be appointed to hold the stock until the minor reaches age 18. The Information Sheet on Appointment of Custodian for Minor Shareholders gives you the information necessary to appoint a custodian. The Consent to Appointment as Custodian and Custodian's Acceptance of Gift of Stock Forms should then be filled out by the custodian and returned to AKI along with the other materials. If you intend to make gifts of stock to more than one recipient (for example, ten shares to each of your five grandchildren) it would be helpful to AKI to have you submit your requests together.

Please mail all of the required materials to the AKI offices in Anchorage. AKI reserves the right to request additional information from you to the extent necessary to verify the necessary information and make the requested transfer.

While the procedures for making gifts of stock may seem cumbersome, they are necessary to insure that the transfers are properly made. AKI is happy to assist you in any way that it can. If you have questions or need assistance, please feel free to call.

Sincerely,

AKI

**QUESTIONNAIRE FOR INTER VIVOS TRANSFER**

**I. INFORMATION ON PERSON TRANSFERRING AKI STOCK (TRANSFEROR)**

1. Your Name: \_\_\_\_\_  
  Last  First  Middle Initial
  
2. Your Mailing Address: \_\_\_\_\_  
  Number  Street or P.O. Box Number  
  
\_\_\_\_\_  
  City  State  Zip Code
  
3. Your Telephone Number: (     ) \_\_\_\_\_
  
4. Your Social Security No. \_\_\_\_\_
  
5. Number of Akhiok-Kaguyak, Inc. stock you own: \_\_\_\_\_

**II. INFORMATION ON PERSON RECEIVING AKI STOCK (RECIPIENT)**

1. Name: \_\_\_\_\_  
  Last  First  Middle Initial
  
2. Mailing Address: \_\_\_\_\_  
  Number Street or P.O. Box Number  
  
\_\_\_\_\_  
  City  State  Zip Code
  
3. Telephone Number: (     ) \_\_\_\_\_
  
4. Social Security No.: \_\_\_\_\_
  
5. Date of Birth: \_\_\_\_\_
  
6. Is the recipient under 18 years of age? Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, to question 6 then a custodian for the child must be appointed. Please read the ***"Information Sheet on Appointment of Custodian for Minor Shareholders for Stock Transferred by Inter Vivos Gift."*** Then answer the following questions:

Name of Custodian		Telephone Number
Mailing Address:		
Number	Street or P.O. Box Number	
City	State	Zip Code
Social Security Number		Date of Birth

Relationship to Recipient \_\_\_\_\_

**NOTE:** FOR AKHIOK-KAGUYAK, INC. (AKI) STOCK TRANSFER, AKI NEEDS THE CUSTODIAN'S CERTIFICATE OF DEGREE OF INDIAN BLOOD FROM BUREAU OF INDIAN AFFAIRS.

Relationship of Recipient to you: (circle one)

7. 1. Child 2. Grandchild 3. Great-grandchild 4. Niece or nephew 5. Brother or sister

8. Is the Recipient a Native? Yes \_\_\_\_\_ No \_\_\_\_\_

(Alaska Indian (including Tsimpsian Indians not enrolled to Metlakatla Indian Community) Eskimo, or Aleut blood, or any combination thereof. The term includes anyone who fits the above description either or both of whose adoptive parents are not Natives. It also includes, in the absence of proof of a minimum blood quantum, any citizen of the United States who is regarded as an Alaska Native by the Native Village or Native group of which he claims to be a member and whose father or mother is (or, if deceased, was) regarded as Native by any village or group.)

9. If the answer to number 8 is "no," is the Recipient a Descendant of a Native?

Yes \_\_\_\_\_ No \_\_\_\_\_

A Descendant of a Native is defined as:

- (1) a lineal descendant of a Native or of an individual who would have been a Native if such individual were alive on December 18, 1971; or
- (2) an adoptee of a Native or of a descendant of a Native whose adoption occurred prior to his or her majority and which is recognized at law or in equity.)

10. If the answer to number 9 is "yes," please answer the following questions:

Name of Native from whom Recipient is a descendant: \_\_\_\_\_

\_\_\_\_\_

Is that person alive? Yes \_\_\_\_\_ No \_\_\_\_\_

Enrollment Number: \_\_\_\_\_

Regional Corporation Enrolled to: \_\_\_\_\_

Relationship of Recipient to such person: \_\_\_\_\_  
(child, grandchild, great-grandchild, etc.)

**III. STOCK TO BE TRANSFERRED**

1. Number of shares of Akhiok-Kaguyak, Inc. stock you wish transferred:

\_\_\_\_\_  
(Number of Shares)

**IV. MATERIALS TO BE SUBMITTED WITH THIS QUESTIONNAIRE**

In order to transfer the stock to the Recipient, the following information must be attached to this Questionnaire.

1. Birth certificate of Recipient, and, if adopted, the adoption decree.
2. If a custodian is to be appointed for Recipient, the completed Consent to Appointment as Custodian for ANCSA Stock.
3. If the Recipient is your grandchild, a copy of the birth certificate of the Recipient's parent who is your child.
4. If the Recipient is your great-grandchild, a copy of the birth certificate of the Recipient's parent who is your grandchild, and a copy of the birth certificate of the Recipient's grandparent who is your child.
5. If the Recipient is your niece or nephew, a copy of your birth certificate and a copy of the birth certificate of your sister or brother who is the parent of the Recipient.

6. If the Recipient is your brother or sister, a copy of your birth certificate and their birth certificate.
7. If the Recipient is a Descendant of a Native, a copy of the birth certificate of all persons through whom the Recipient is descended to the extent not already provided in response to 4 through 6 above. @ Example Sheet attached to the cover letter to this packet for examples and further explanation.)
8. The "Gift of Settlement Common Stock" form filled out, signed, and notarized. (Please send the original form to:  
Akhiok-Kaguyak, Inc.  
1400 W. Benson Blvd., Suite 425  
Anchorage, AK 99503
9. The completed Acceptance of the Gift of Stock form, if the gift is to an adult, or the completed Custodian's Acceptance of the Gift of Stock if the gift is to a minor child.

Dear Shareholder:

With the passage of the Alaska Native Claims Settlement Act Amendments of 1987 and the 1992 Technical Amendments, Congress decided to allow Native Corporation shareholders to make "inter vivos" gifts of their Native Corporation stock to their children, grandchildren, nieces, nephews, brothers and sisters. An "inter vivos" gift is a voluntary transfer by one living person to another without payment or other consideration. As you are aware, this is a substantial change from the old law, which allowed the transfer of Native Corporation stock only pursuant to a court decree, or upon death of the shareholder.

If you are 18 years of age or older, you may make a gift of any number of your shares of AKI stock if you desire. The stock may be transferred only to a child, grandchild, great-grandchild, niece, nephew, brother or sister, however. If you are less than 18 years of age and wish to make a gift, please contact AKI for additional information.

The person who receives the stock must be a Native or a Descendant of a Native. Those terms are defined in the Alaska Native Claims Settlement Act, as amended. A Native is defined as:

A citizen of the United States who is a person of one-fourth degree or more Alaska Indian (including Tsimpsian Indians not enrolled in the Metlakatla Indian Community), Eskimo, or Aleut blood, or combination thereof. The term includes any Natives as so defined either or both of whose adoptive parents are not Natives.

It also includes, in the absence of proof of a minimum blood quantum, any citizen of the United States who is regarded as an Alaska Native by the Native village or Native group of which he claims to be a member and whose father or mother is (or, if deceased, was) regarded as Native by any village or group.

A Descendant of a Native is:

- (1) A lineal descendant of a Native or of an individual who would have been a Native if such individual were alive on December 18, 1971, or
- (2) An adoptee of a Native or of a descendant of a Native, whose adoption --
  - (a) occurred prior to his or her majority, and
  - (b) is recognized at law or in equity.

You should be aware that any gifts of stock which you make may have tax consequences. For that reason, you may wish to obtain the advice of a tax accountant and/or lawyer before making any transfers.

EXAMPLES FOR GIFTING STOCK – REQUIRED FORMS

A. Gift from Native Shareholder to his/her **Child**.

1. Birth certificate of child.

B. Gift from Native Shareholder to his/her **Grandchild**.

1. Birth certificate of grandchild.
2. Birth certificate of shareholder's child who is parent of grandchild.

C. Gift from Native Shareholder to his/her **Great-Grandchild**.

1. Birth certificate of great-grandchild.
2. Birth certificate of shareholder's child who is grandparent of great-grandchild.
3. Birth certificate of shareholder's grandchild who is parent of great-grandchild.

D. Gift from Shareholder Who is a **Descendant of a Native to his/her Child**.

1. Child's birth certificate.
2. Shareholder's birth certificate.
3. Birth certificate of each person in the line of descent between shareholder and the Native from whom he or she descends (unless already on file with AKI).

E. Gift from Shareholder Who is a **Descendant of a Native to his/her Grandchild**.

1. Birth certificates required by B and D above.

F. Gift from Shareholder Who is a **Descendant of a Native to his/her Great-Grandchild**.

1. Birth certificates required by C and D above.

G. Gift from **Non-Native Shareholder to his/her Child - Child's Other Parent is Native**.

1. Child's birth certificate.

H. Gift from **Non-Native Shareholder to his/her Grandchild - Grandchild's other Parent is Native**.

1. Birth certificates required by B above.



- I. **Gift from Non-Native Shareholder to his/her Great-Grandchild - Grandchild's other Parent is Native.**
1. Birth certificates required by C above.
- J. **Gift from Non-Native Shareholder to his/her Child - Child's Other Parent is a Descendant of a Native.**
1. Birth certificate of child.
  2. Birth certificate of parent who is Descendant of Native.
  3. Birth certificate of each person in line of descent between parent and Native from whom parent descends (unless already on file AKI).
- K. **Gift from Non-Native Shareholder to his/her Grandchild - Grandchild's Parent is a Descendant of a Native.**
1. Birth certificates required by B and J above.
- L. **Gift from Non-Native Shareholder to his/her Great Grandchild - Great-Grandchild's Parent is a Descendant of a Native.**
1. Birth certificates required by C and J above.
- M. **Gift of Shareholder (Native, Non-Native or Descendant of a Native) to Niece or Nephew Whose Parent is Native.**
1. Birth certificates of niece or nephew.
  2. Birth certificate of shareholder.
  3. Birth certificate of parent of niece or nephew who is the brother or sister of shareholder.
- N. **Gift of Shareholder (Native, Non-Native or Descendant of a Native) to Niece or Nephew Whose Parent is a Descendant of a Native.**
1. Birth certificates required by M above.
  2. Birth certificate of parent who is Descendant of a Native.
  3. Birth certificates of each person in the line of descent between parent and Native from whom he or she descends.
- O. **Gift of Shareholder (Native, Non-Native or Descendant of a Native) to Brother or Sister.**

1. Birth certificate of shareholder.
2. Birth certificate of brother or sister, or, if adopted, the adoption decree.
3. If recipient is a Descendant of a Native, birth certificate of parent of recipient and of each person in the line of descent between recipient and Native from whom he or she descends.

**NOTE:** IN SOME STOCK TRANSFERS, AKHIOK-KAGUYAK, INC. (AKI) NEEDS A COPY OF THE RECIPIENT'S BIRTH-CERTIFICATE AND THE TRANSFEROR'S BIRTH CERTIFICATE, PLUS NEW RECIPIENTS MUST TURN IN THEIR CERTIFICATE OF DEGREE OF INDIAN BLOOD FROM BUREAU OF INDIAN AFFAIRS. PLEASE READ THE ATTACHED, "THE MATERIAL REQUIRED TO TRANSFER STOCK" TO SEE WHAT IS REQUIRED TO COMPLETE AN AKI STOCK TRANSFER.

GIFT OF SETTLEMENT COMMON STOCK

I, the undersigned, pursuant to the provisions of Section 7(i)(1)(C)(iii) of the Alaska Native Claims Settlement Act, do hereby give, assign, transfer, convey and set over to \_\_\_\_\_ whose address is \_\_\_\_\_, whose date of birth is \_\_\_\_\_, and whose social security number is \_\_\_\_\_, and who is a Native or a Descendant of a Native (as those terms are defined in the Alaska Native Claims Settlement Act) and is my (check one):

Child  Grandchild  Great-Grandchild  Niece  Nephew  \*Brother  \*Sister

The following shares of \_\_\_\_\_ stock: \_\_\_\_\_ with full authority to have said stock transferred upon the books and records of the Corporation in accordance with this gift.

\*I understand I may make a gift of shares to my brother or sister only if I am over the age of 18. My date of birth is: \_\_\_\_\_

Grantee is (check one):         Under 18 years of age  
    18 years of age or older

If Grantee is less than 18 years of age, a custodian will be appointed for the stock. You may designate a custodian and a successor custodian if you wish. Otherwise a custodian will be appointed in accordance with the priority set out in the Alaska Statutes.

Custodian

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_



**ACCEPTANCE OF THE GIFT OF STOCK**

I hereby acknowledge receipt of \_\_\_\_\_ shares of \_\_\_\_\_ stock as a gift from \_\_\_\_\_. I hereby affirm that I have not transferred or promised anything of value to such person in order to obtain these shares. I understand that once this stock is transferred to me on the stock records of \_\_\_\_\_ that I will become a shareholder in the Corporation, with all of the rights and responsibilities which result from being a shareholder. Such rights and responsibilities at this time include:

(1) At the Annual Meeting, I will be entitled to vote the shares I will own from this gift, if I am a Native or a descendant of a Native.

(2) I may control the future ownership of this stock in that I may:

- (a) If I am 18 years of age or more, gift the stock to my child, grandchild, great-grandchild, niece, nephew, brother or sister who is Alaskan Native or a descendant of a Native;
- (b) Complete a will so that, upon my death, the stock will be transferred to the heirs I have listed;

(3) Should the Corporation declare a dividend or distribution, I will receive a certain amount of money calculated by the shares I will own from this gift.

I have read the above paragraphs and understand the rights and responsibilities associated with this gift of stock. My signature below indicates my acceptance of this gift.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

STATE OF \_\_\_\_\_ )  
   ) ss.

COUNTY OF \_\_\_\_\_ )  
[OR JUDICIAL DISTRICT]

SUBSCRIBED, SWORN TO, and acknowledged before me by \_\_\_\_\_  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_