



The Questionnaire contains the information needed by AKI to verify that the transfer meets the requirements of the Act and to complete the stock transfer. The Questionnaire requires that copies of birth certificates be provided for both the recipient of the stock, and, if the recipient is other than the donor's child, the birth certificates of those persons through whom the recipient descends. The purpose of these birth certificates is to allow AKI to verify the relationship between the shareholder and the person receiving the stock. In addition, if the recipient is adopted, a copy of the adoption decree should be submitted along with the recipient's birth certificate.

The materials which are needed to make a gift of stock are to include:

- Inter Vivos Transfer Questionnaire;
- Gift of Settlement Common Stock Form; *and*
- Acceptance of the Gift of Stock

If a minor; the Information Sheet on Appointment of a Custodian for Minor Shareholders, Consent to Appointment of Gift of Stock forms for individuals and custodians, (which can be printed from our website).

The Gift Settlement Common Stock Form is the document which makes the gift of stock. Make sure it is signed and notarized. AKI will keep the original document on file and return a copy of it to you for your records after the stock has been transferred.

The Acceptance of Gift Stock form must be signed and notarized by the person to whom you are giving the stock. The purpose of this form is to insure the person who is receiving the stock is willing to accept it, and understands the rights and obligations of the stock.

AKI must also verify that the person who is receiving the stock is a Native or a Descendant of a Native. For recipients who are Native, providing their enrollment number is sufficient. For recipients who are Descendants of Natives, AKI will need the name and enrollment number of the Native they are descended from, as well as copies of the birth certificates of the persons through whom they descent. In many cases, these will be the same birth certificates which are needed to establish the relationship between the shareholder and the recipient. Forms can be found on AKI website or if you are unsure of the forms you need to submit, please contact AKI offices in Anchorage for assistance.

In addition, if the person who is to receive the stock is under 18 years of age, a custodian must be appointed to hold the stock until the minor reaches age 18. The Information Sheet on Appointment of Custodian for Minor Shareholders gives you the information necessary to appoint a custodian. The Consent to Appointment as Custodian and Custodian's Acceptance of Gift of Stock Forms should then be filled out by the custodian and returned to AKI along with the other materials. If you intend to make gifts of stock to more than one recipient (for example, ten shares to each of your five grandchildren) it would be helpful to AKI to have you submit your requests together.



Please mail all required materials to the AKI offices in Anchorage. AKI reserves the right to request additional information from you to the extent necessary to verify the necessary information and make the requested transfer.

While the procedures for making gifts of stock may seem cumbersome, they are necessary to insure transfers are properly made. The completed Gift of Settlement Common Stock form, Acceptance of Gift of Stock form, and Questionnaire should be returned to AKI. If you have questions or need assistance, please feel free to call.



I. INFORMATION ON PERSON TRANSFERRING AKI STOCK (TRANSFEROR)

1. Your Name:

Last First Middle Initial

2. Your Mailing Address:

Street or P.O. Box Number

City State Zip Code

3. Your Telephone Number:

4. Your Social Security No.

5. Number of Akhiok-Kaguyak, Inc. stock you own:

II. INFORMATION ON PERSON RECEIVING AKI STOCK (RECIPIENT)

1. Name:

Last First Middle Initial

2. Mailing Address:

Number Street or P.O. Box Number

City State Zip Code

3. Telephone Number:

4. Social Security No.:

5. Date of Birth:

6. Is the recipient under 18 years of age? Yes No

If yes, to question 6 then a custodian for the child must be appointed. Please read the ***"Information Sheet on Appointment of Custodian for Minor Shareholders for Stock Transferred by Inter Vivos Gift."*** Then answer the following questions:



QUESTIONNAIRE FOR INTER VIVOS TRANSFER

1. Name of Custodian

Last First Middle Initial

2. Mailing Address:

Number Street or P.O. Box Number

City State Zip Code

3. Telephone Number:

4. Social Security No.: 5. Date of Birth:

NOTE: FOR AKHIOK-KAGUYAK, INC. (AKI) STOCK TRANSFER, AKI NEEDS THE CUSTODIAN'S CERTIFICATE OF DEGREE OF INDIAN BLOOD FROM BUREAU OF INDIAN AFFAIRS.

Relationship of Recipient to you: (mark one)

7. Child Grandchild Great-grandchild Niece/Nephew Brother/Sister

8. Is the Recipient a Native? Yes No

(Alaska Indian (including Tsimpsian Indians not enrolled to Metlakatla Indian Community) Eskimo, or Aleut blood, or any combination thereof. The term includes anyone who fits the above description either or both of whose adoptive parents are not Natives. It also includes, in the absence of proof of a minimum blood quantum, any citizen of the United States who is regarded as an Alaska Native by the Native Village or Native group of which he claims to be a member and whose father or mother is (or, if deceased, was) regarded as Native by any village or group.)

9. If the answer to number 8 is "No" is the Recipient a Descendant of a Native?

Yes No

A Descendant of a Native is defined as:
(1) a lineal descendant of a Native or of an individual who would have been a Native if such individual were alive on December 18, 1971; or
(2) an adoptee of a Native or of a descendant of a Native whose adoption occurred prior to his or her majority and which is recognized at law or in equity.

10. If the answer to number 9 is "yes," please answer the following questions:

Name of Native from whom Recipient is a descendant:



Is that person alive? Yes No

Enrollment Number:

Regional Corporation Enrolled to:

Relationship of Recipient to such person:

(child, grandchild, great-grandchild, etc.)

III. STOCK TO BE TRANSFERRED

1. Number of shares of Akhiok-Kaguyak, Inc. stock you wish transferred:

IV. MATERIALS TO BE SUBMITTED WITH THIS QUESTIONNAIRE

To transfer the stock to the Recipient, the following information must be attached to this Questionnaire.

1. Birth certificate of Recipient, and, if adopted, the adoption decree.
2. If a custodian is to be appointed for Recipient, the completed Consent to Appointment as Custodian for ANCSA Stock.
3. If the Recipient is your grandchild, a copy of the birth certificate of the Recipient's parent who is your child.
4. If the Recipient is your great-grandchild, a copy of the birth certificate of the Recipient's parent who is your grandchild, and a copy of the birth certificate of the Recipient's grandparent who is your child.
5. If the Recipient is your niece or nephew, a copy of your birth certificate and a copy of the birth certificate of your sister or brother who is the parent of the Recipient.
6. If the Recipient is your brother or sister, a copy of your birth certificate and their birth certificate.
7. If the Recipient is a Descendant of a Native, a copy of the birth certificate of all persons through whom the Recipient is descended to the extent not already provided in response to 4 through 6 above. (Example Sheet attached to the cover letter to this packet for examples and further explanation.)
8. The completed Acceptance of the Gift of Stock form, if the gift is to an adult, or the completed Custodian's Acceptance of the Gift of Stock if the gift is to a minor child.
9. The "Gift of Settlement Common Stock" form filled out, signed, and notarized.

Please send the original form to:

**Akhiok-Kaguyak, Inc.
1400 W. Benson Blvd., Suite 425
Anchorage, AK 99503**